

Monitoring System German Initiative on Sustainable Cocoa

Overview of the harmonisation of indicators with the EU platforms, SWISSCO, Beyond Chocolate and CFI

Version of 09.06.2020

PST – Analysis of coherence with extended set of common indicators

- Included
- Included but other wording – or included to a certain extent
- Not included

A. Comparison of the impact indicators of the German Initiative on Sustainable Cocoa with the harmonised indicators of the EU platforms

Specific objective	German Initiative on Sustainable Cocoa Impact level ¹		Harmonised indicators of the EU platforms ²	Notes
	Indicator	Key data regarding monitoring and datapoints	Key data regarding monitoring and datapoints	
100% conformity				
1 and 3	Composition of the total net income of a cocoa farming household	Monitoring takes place via specialized studies at national level Focus on West Africa (CI and GH) Methodically the LI-CoP is trendsetting	Monitoring takes place via specialized studies at national level and via a survey of the company programs Focus on West Africa (CI and GH) Methodically the LI-CoP is trendsetting	100% conformity on the indicator, datapoints, survey and methodology
		- Total net household income	- Total net household income (USD) of cocoa producing households	
		- Proportion of income from cocoa	- % of total net household income coming from cocoa	

¹ As elaborated in the sub-working group Monitoring and KPIs of the German Initiative on Sustainable Cocoa.

² Version of 18 May 2020

		- Proportion of income from other income-generating activities	- % of total net household income coming from other sources	
		- Benchmark: Living Income for cocoa farmers	- Income gap (USD) to the Living Income Benchmark	
5	Deforestation in cocoa producing regions	Monitoring takes place via specialized studies at national level Focus on West Africa (CI and GH)	Monitoring takes place via specialized studies at national level Focus on West Africa (CI and GH)	100% conformity on the indicator, datapoints, survey and methodology <i>The EU platforms have also agreed on a datapoint on primary forests which is not included in GISCOs framework</i>
		- Quantity or proportion of deforestation-free cocoa purchased in Germany	- Tons of cocoa traceable per traceability system ¹ and % of imports (Here: Performance indicator, information will be requested to members)	
		- Deforested area in cocoa growing regions	- Change (%) of deforestation rates (ha/year) in cocoa producing areas (ha) (national level)	
		- Benchmark: Deforestation rate in cocoa-producing countries		
		-	- Primary forests loss (ha)	
6	Abusive child labour in cocoa production	Monitoring takes place via specialized studies at national level Focus on West Africa (CI and GH)	Monitoring takes place via specialized studies at national level Focus on West Africa (CI and GH)	100% conformity on the indicator, datapoints, survey and methodology
		- Incidence of abusive and dangerous child labour and the worst forms of child labour in the cocoa sector	- # of children in child labour and affected by the worst forms of child labour in the cocoa sector and % of total # of children living in agricultural households in the cocoa sector (child labour incidence rates in %)	
		- Increase or decrease in school attendance	- % of children attending school (school attendance rate) in cocoa growing regions	
Partial conformity				
2	Productivity of cocoa farming	Monitoring takes place via specialized studies at national level Focus on West Africa (CI and GH)	Monitoring takes place via specialized studies at national level Focus on West Africa (CI and GH)	Partial match: <i>Datapoint on contribution margin is not foreseen for the EU platforms</i>
		- Productivity in kilograms/hectare	- Productivity (tons/ha)	
		- Contribution margin	-	
4		Monitoring takes place via specialized studies at national level	Monitoring takes place via collection of company monitoring data.	Partial match:

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	Proportion of cocoa production in agroforestry systems	Focus on West Africa (CI and GH) - Area of sustainable diversified (cocoa) production systems and agroforestry systems in hectares or percentage of total cocoa production area	Focus on West Africa (CI and GH) - % change of deforestation rates und ha of primary forests loss	Survey methods vary, datapoints of EU platforms focus on agroforestry systems.
11	Quantity / proportion of cocoa purchased or used in Germany that is certified or verified by a third party	Monitoring takes place via survey of the BDSI Focus on cocoa used in Germany - Quantity / proportion of cocoa purchased or used in Germany that is certified or verified by a third party - that by 2025, at least 85% of the cocoa in the cocoa-containing end products sold by our producing members in Germany will come from certified or independently verified cultivation	Monitoring done by each platform individually - Tons of cocoa (equivalents) certified according to a sustainability standard or produced according to an equivalent sustainability program independently verified and % of total - see above	Partial match: <i>Different survey method, datapoints of GISCO refer to cocoa used in Germany. Datapoints of the EU platforms refer to certified or verified quantities produced in programmes.</i>
7	Gender equality in the cocoa sector	- Number of women running cooperatives	- gender differentiated indicators	Partial match
No conformity				
8	(Positive) implementation of the programmes concerning the observance of human rights and environmental aspects in identified risk areas and public reporting	- Status of compliance with the OECD Guidelines (here Chapter 6. Environment)	-	-
		- Members who have published a policy statement	-	-
		- Members who have carried out a risk analysis	-	-
		- Members who implement programmes to comply with human rights and environmental aspects	-	-
		- Number of members with a positive implementation of the programmes	-	-
		- Number of statements by member groups on the subject of respect for human rights (through their umbrella organisations)	-	-
	The cocoa supply chains of the members are traceable and transparent	- Status of compliance with the OECD Guidelines (here Chapter 6. Environment)	-	-
		- More in preparation	-	-

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9	Governance situation in cocoa-producing countries	- Development of national strategies for sustainability in the growing and consumer countries together with stakeholders	-	-
		- Integration of cocoa farmers into the value chain (network analysis)	-	-
12	Impact of multi-stakeholder partnerships including GISCO	- Number, scope, focus and impact of multi-stakeholder partnerships (degree to which objectives are achieved)	-	-
		- Legal certainty with regard to sustainability cooperation, taking into account competition law	-	-
		- Number/percentage of members of GISCO who are actively involved	-	-

B. Comparison of the performance indicators of GISCO with the harmonised indicators of the EU platforms, SWISSCO, Beyond Chocolate and the Cocoa & Forests Initiative

Yellow mark: Match
Grey mark: Partial match
No mark: No match

German Initiative on Sustainable Cocoa Performance level		Harm. EU Platforms	SWISSCO	Beyond Chocolate	CFI	Notes
Indicator	Data Points					
Projects and initiatives of the members in the growing countries	- Number of projects / initiatives					
	- Number of farmers reached (m, f)					
	- Diversified area under cultivation or agroforestry systems (in ha.)			partly		<i>Beyond Chocolate: Consideration of agroforestry systems only</i>
	- Number and proportion of projects with income indicator					
	- Topics covered / alignment (list of topics in Annex 1)	partly	partly	partly		<i>SWISSCO, Beyond Chocolate and CFI do not cover all topics from the topic list (see topic list)</i>

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	- Number of farmers (m, f, children) reached on selected topics (list of topics in Appendix 1)	partly	partly	partly	partly	SWISSCO, Beyond Chocolate and CFI do not cover all topics from the topic list (see topic list)
	- Participation in initiatives (CFI, ICI, etc.)			partly	partly	Beyond Chocolate & CFI: Only refers to members of the CFI
Standard systems and company programmes verified by third parties	- Topics covered (selected from the list of topics in Annex 1)					Benchmark of standards and corporate programs
Cocoa purchased or used in Germany	- Proportion of cocoa sold in Germany that is certified or verified by a third party	partly	partly	partly		Different focus in the survey of purchase quantities in each platform
Sustainability strategies of the members	- Number of members who report publicly and in a standardised way					
	- Topics covered and alignment (selected from the list of topics in Annex 1)			partly	-	Beyond Chocolate: Queries the objectives of the sustainability strategies, but not specifically whether the objectives are consistent
Traceability (systems) in the supply chain	- Number and description of the traceability systems used					Joint study EU platforms on traceability systems
	- Topics covered (selected from the list of topics in Annex 1)				partly	Joint study EU platforms on traceability systems CFI: Asks specifically about traceability to farm level
	- Number of members that have 100% traceable supply chains		partly		partly	Swiss Platform: Number of farms mapped by polygon, GPS CFI: 100 % of direct sourced cocoa is traceable to farm level by 2022
	- Proportion and quantity of cocoa (in tonnes) purchased through traceable supply chains (down to farm level)				partly	CFI: 100 % of direct sourced cocoa is traceable to farm level by 2022
Direct marketing programmes	- Number and description of direct marketing relationships					
	- Percentage of cocoa purchased through direct marketing relationships			partly		Beyond Chocolate: Queries quantities that are purchased via programs.
	- Number of programmes with surcharges					

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	- Number of farmers reached (m, f)			partly		<i>Beyond Chocolate: Queries the number of farmers supported by programs.</i>
	- Topics covered (selected from the list of topics in Annex 1)					
Price surcharges	- Farm-gate price paid					
	- Paid surcharges					
	- Number and description of the premium systems			partly		<i>Beyond Chocolate: Not directly queried but needed to classify the premiums they provide.</i>
	- Number of farmers reached					
	- Values in USD per year, per tonne, average values					
	- Quantity of cocoa (in tonnes) purchased with price supplements paid to farmers					
No conformity of indicators and datapoints						
Implementation of the UN-Guiding Principles for Business & Human Rights	- Number of members implementing the 5 core elements of the UN-Guiding Principles					
	- Number of members reporting on the implementation of the UN Guidelines for Business & Human Rights					
Regulations and incentives	- Number and description of instruments used in GER/EU					
	- Number of initiatives for reform of cartel law with reference to sustainability goals (GER/EU)					
	- Participation in initiatives (ICCO, CFI, ICI, etc.)					
	- Support of / harmonisation with multi-stakeholder platforms (GISCO, SWISSCO, etc.)					
	- Topics covered (selected from the list of topics in Annex 1)					

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Policy dialogue with cocoa-growing countries as well as donor coordination and dialogue with international stakeholders	- Number and description of events held					
	- Topics covered (selected from the list of topics in Annex 1)					
Communication and dialogue	- Number and description of communication initiatives, participation in political processes and campaigns to educate and mobilise consumers about the purchase and use of cocoa-containing end products from sustainable cultivation					

C. Further data points from the harmonisation of EU platforms to be included in the monitoring system of GISCO (as performance data points)– the list is not final as the process is not yet completed

1	Total area under cocoa cultivation (ha), per country
2	Average farm area (ha) of cocoa producing households, per country
3	Average farm area under cocoa cultivation (ha), per country
4	Average household size
5	# and area of cocoa farms mapped (GPS, polygon) and % of total # of farms / total farm area (adapted from CFI)
6	# of multi-purpose trees and # of multi-purpose tree species distributed to farmers for an-farm planting (adapted from CFI)
7	# of plantain and banana suckers distributed

D. Further indicators included in the monitoring systems of the Swiss Platform and Beyond Chocolate that are not queried by GISCO – the list is not final as the monitoring systems of the two initiatives have not yet been finalised

Swiss platform

Average farm area (per farmer)
Average area under cocoa cultivation
Average age of farmer
Number field extension officers
Total area under cocoa cultivation
Number farms mapped by polygon, GPS
of permanent multi-purpose trees per ha on cocoa farm (> 3 years) #
of permanent multi-purpose trees species per ha on cocoa farm (> 3 years)
Climate change adaptation/mitigation practices disseminated

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Average cocoa yield per ha kg/ha
of farmers per field officer / coach in project (calculated from above) #
of farmers in the project receiving cocoa seedlings (total in reporting year) #
of female farmers in the project receiving cocoa seedlings (total in reporting year) #
Hours spent coaching / training per farmer (over reporting period/year) h
of cocoa seedlings distributed to farmers (total in reporting year) #
of farmers with renovated or replanted areas on their farm #
of female farmers with renovated or replanted areas on their farm #
Hectares of cocoa area replanted (project total) ha
Hectares of cocoa area rehabilitated (project total, pruned or grafted)
of farmers using a saving account (saving activity within the past 12 months) #
of female farmers using a saving account (saving activity within the past 12 months) #
of farmers with access to monetary loans #
of female farmers with access to loans #
of farmers with access to non-monetary loans (e.g. seeds, fertilizer etc.) #
of female farmers with access to non-monetary loans (e.g. seeds, fertilizer etc.) #
Volume of cocoa sourced from long-term relationships (> 3 years) with the farmer/

Beyond Chocolate

Compliance with CFI: have submitted a company action plan with significant company targets (for the corresponding CFI sourcing region) YES/NO

Compliance with CFI: report to CFI / IDH YES/NO

Compliance with CFI: comply with their accountability vis-à-vis CFI YES/NO

cocoa production area/community, where the cocoa is being sourced from

Cocoa production area increases targeted: yes / no

Cocoa production area decreases targeted: yes / no

hectares at baseline (+ year)

Number of farms involved

Average # hectares per farm - at baseline (+ year)

hectares at intermediary assessment, reporting or closure (+ year)

Cost of support – if any

Cocoa yield improvement targeted: yes / no

Average cocoa yield per hectare: at intermediary assessment, reporting or closure (+ year)

Type of support provided / type of measures used to enhance productivity in cocoa farming

Type of alternative crop promoted – multiple choices possible

Average alternative crop yield kg per hectare: at baseline (+ year) and at intermediary assessment, reporting or closure (+ year)

Information on access to finance / funding mechanisms of farm-level investments

Data on other on farm diversification activities (if any) to ensure sustainable contribution to significant increases in farmers' income

acceptable assurance that cocoa is legally source (no need to complete if already covered under KPI 2, otherwise please explain)

Other efforts made and leverages used to contribute to forest preservation.

Individual commitments by BC signatories: Description of other individual commitments

Individual commitments by BC signatories: List and short presentation of each commitment in terms of resources committed, progress milestones and outcomes and Relative weight of the commitment

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Total cost of resources invested in pursuing these individual commitments

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